

# Supersymmetry and the gauge/gravity duality

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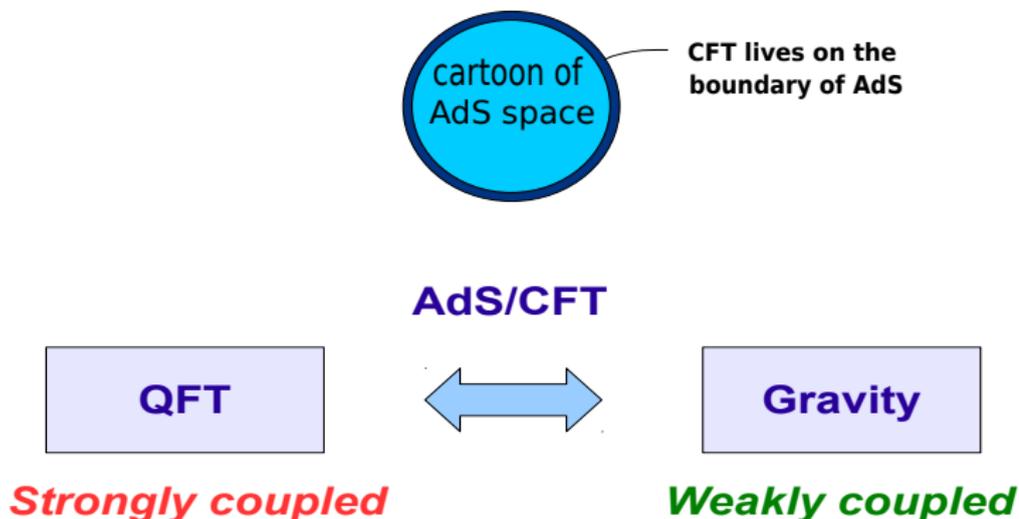
# Outline

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# Gauge/Gravity duality

Formerly known as AdS/CFT correspondence

Conjectured equivalence between (quantum) **gravity** in certain “bulk” space-times and **quantum field theories** on their boundaries



# Supersymmetry

- When bulk and boundary are **supersymmetric** we can perform detailed computations on both sides and (in certain limits) compare them
- Supersymmetry in the bulk  $\Rightarrow$  **supersymmetric solutions of supergravity equations**
- There exist spinor fields (**Killing spinors**) obeying linear first order differential equations (**KSE**)
- Supersymmetry on the boundary  $\Rightarrow$  **“rigid” KSE on curved space\***

\*[See talk of [Zaffaroni](#)]

# Field theories from M2-branes

Over the last four years lot of progress in the  $AdS_4/CFT_3$  correspondence

- Constructions of large classes of  $d = 3$  superconformal field theories with known gravity duals
- Precise quantitative checks of the gauge/gravity duality

The  $d = 3$  supersymmetric field theories describe the dynamics of  $N$  M2-branes, with supergravity dual description valid in the large  $N$  limit

# Field theories from M2-branes

[ABJM]: worldvolume theory on  $\mathbf{N}$  M2-branes in flat spacetime

The key was to study  $\mathbf{N}$  M2-branes on  $\mathbb{R}^{1,2} \times \mathbb{R}^8/\mathbb{Z}_k$ , where the  $\mathbb{Z}_k$  quotient leaves  $\mathcal{N} = \mathbf{6} \subset \mathcal{N} = \mathbf{8}$  supersymmetry unbroken

Low-energy theory is an  $\mathcal{N} = \mathbf{6}$  superconformal  $\mathbf{U}(\mathbf{N})_k \times \mathbf{U}(\mathbf{N})_{-k}$  Chern-Simons theory coupled to bifundamental matter, with  $k \in \mathbb{N}$  a Chern-Simons coupling:

$$S_{\text{CS}} = \frac{k}{4\pi} \int \text{Tr} \left( \mathcal{A} \wedge d\mathcal{A} + \frac{2}{3} \mathcal{A}^3 \right) + \text{supersymmetry completion}$$

# M-theory dual of ABJM model

Gravity dual:

$\text{AdS}_4 \times \mathbf{S}^7/\mathbb{Z}_k$  solution to **d = 11** supergravity with quantized flux of **G**:

$$\mathbf{N} = \frac{1}{(2\pi\ell_p)^6} \int_{\mathbf{S}^7/\mathbb{Z}_k} *G$$

**3/4** unbroken supersymmetry

**N** is the number of M2 branes = **N** in **U(N)**

**k** is the Chern-Simons level

# Generalisations with less supersymmetry

- M2-branes at other isolated singularities in 8 dimensions:  $\mathbb{R}^{1,2} \times \mathbf{X}_8$  with  $\mathbf{X}_8$  hyper-Kähler ( $\mathcal{N} = 3$ ) or Calabi-Yau ( $\mathcal{N} = 2$ )
- Field theories: Chern-Simons-matter theories with products of  $\mathbf{U}(\mathbf{N})$  gauge groups (“quivers”)
- Conical metric  $d\mathbf{s}_{\mathbf{X}_8}^2 = d\mathbf{r}^2 + \mathbf{r}^2 d\mathbf{s}_{\mathbf{Y}_7}^2$  leads to supergravity dual  $\text{AdS}_4 \times \mathbf{Y}_7$ , with  $\mathbf{Y}_7$  a Sasaki-Einstein (or three-Sasakian) manifold

# The boundary of Euclidean AdS<sub>4</sub>

The conformal boundary of Euclidean-AdS<sub>4</sub> is  $\mathbf{S}^3$  with “round” (Einstein) metric

One can put an arbitrary  $\mathbf{d} = 3$ ,  $\mathcal{N} = 2$  gauge theory on the round  $\mathbf{S}^3$ , preserving supersymmetry [Kapustin-Willet-Yaakov, Jafferis, Hama-Hosomichi-Lee]

Key: on the round  $\mathbf{S}^3$  there exist Killing spinors

$$\text{Flat space } \partial_\mu \epsilon = 0 \quad \longrightarrow \quad \text{curved space } \nabla_\mu \epsilon = \frac{i}{2} \gamma_\mu \epsilon$$

[Festuccia-Seiberg]: begin with supergravity, take  $\mathbf{m}_{\text{pl}} \rightarrow \infty$  limit to obtain a rigid supersymmetric theory

# Localization

[See also talk of [Belavin](#)]

In Euclidean supersymmetric theories on  $\mathbf{S}^3$  the VEV of any BPS operator can be computed exactly using [localization](#) [[Pestun](#)]

Basic idea: if there is a Fermionic symmetry  $\mathcal{Q}$  ( $\mathcal{Q}^2 = \mathbf{0}$ ) such that  $\mathcal{Q}\mathcal{S} = \mathcal{Q}\mathcal{O}_{\text{BPS}} = \mathbf{0}$ , then the [semi-classical](#) limit becomes exact

$$\langle \mathcal{O}_{\text{BPS}} \rangle = \int_{\text{all fields}} e^{-\mathcal{S}} \mathcal{O}_{\text{BPS}}$$
$$\stackrel{\text{exactly}}{=} \int_{\mathcal{Q}\text{-invariant fields}} e^{-\mathcal{S}} \mathcal{O}_{\text{BPS}} \cdot (\text{one-loop determinant})$$

On supersymmetric (admitting Killing spinors) curved Euclidean manifolds  $\mathcal{Q}$  is a supercharge, generating a supersymmetry variation of the theory

For  $\mathbf{d} = 3$ ,  $\mathcal{N} = 2$   $\mathbf{U}(\mathbf{N})$  gauge theory, infinite-dimensional functional integral  $\longrightarrow$  [finite-dimensional matrix integral](#) over  $\mathbf{N} \times \mathbf{N}$  Hermitian matrices

# The localized partition function

(Here written for  $U(N)_k$  gauge group with one fundamental matter for simplicity)

$$Z \propto \int \prod_{j=1}^N \frac{d\lambda_j}{2\pi} \exp \left[ i \frac{k}{4\pi} \sum_{j=1}^N \lambda_j^2 \right] \exp [-F_{\text{loop}}]$$

where

$$\exp [-F_{\text{loop}}] = \prod_{m \neq j} 2 \sinh \left( \frac{\lambda_m - \lambda_j}{2} \right) \cdot \prod_{j=1}^N s_{\mathbf{b}=1}(i - i\Delta - \lambda_j)$$

$s_{\mathbf{b}}(\mathbf{x})$  is the **double sine/quantum dylogarithm** function

$$s_{\mathbf{b}}(\mathbf{x}) = \prod_{m,n \geq 0} \frac{mb + nb^{-1} + (b + b^{-1})/2 - ix}{mb + nb^{-1} + (b + b^{-1})/2 + ix}$$

[See the talks of **Faddeev** and **Spiridonov!**]

## Exact free energy

The partition function **Z** **matrix integral** in simple cases may be computed explicitly. For the ABJM model [[Drukker-Marino-Putrov](#)]:

$$-\log Z_{\text{field theory}} = \frac{\pi\sqrt{2}}{3} k^{1/2} N^{3/2} + \mathcal{O}(N^{1/2})$$

This agrees precisely (i.e. including numerical factors!) with the holographic free energy of  $\text{AdS}_4$  (holographically renormalized action of  $\text{AdS}_4$ ), reproducing the famous  $N^{3/2}$  scaling

Analytic and/or numerical methods may be used to compute  $1/N$  corrections

## Large $N$ free energy

For more general  $\mathcal{N} = 2$  SCFTs, similar results have been obtained by computing the large  $N$  limit of matrix integrals:

$$-\log Z_{\text{field theory}} = \sqrt{\frac{2\pi^6}{27\text{Vol}(\mathbf{Y}_7)}} N^{3/2} + \mathcal{O}(N^{1/2})$$

(at least when the matter representation of the gauge group is *real*)

This agrees with the holographic free energy computed from the (Euclidean) M-theory solutions  $\text{AdS}_4 \times \mathbf{Y}_7$ !

[DM-Sparks, Jafferis-Klebanov-Pufu-Safdi, Cheon-Kim-Kim]

## More general three-manifolds

One can put  $\mathcal{N} = 2$  SUSY theories on 3-manifolds more general than the round  $\mathbf{S}^3$ , still preserving supersymmetry ([Klare-Tomasiello-Zaffaroni]). General rigid KSE given in [Closset-Dumitrescu-Festuccia-Komardgodski]

$$\left[ \nabla_{\alpha} - i\mathbf{A}_{\alpha}^{(3)} + i\mathbf{V}_{\alpha} + \frac{\mathbf{H}}{2}\gamma_{\alpha} + \frac{1}{2}\epsilon_{\alpha\beta\rho}\mathbf{V}^{\beta}\gamma^{\rho} \right] \chi = 0$$

$\mathbf{A}_{\alpha}^{(3)}$ ,  $\mathbf{V}_{\alpha}$ ,  $\mathbf{H}$  are fixed (rigid) background fields

Our main example: results about supersymmetry, localization, and reduction to matrix integrals go through if we replace the round  $\mathbf{S}^3$  by the **biaxially squashed**  $\mathbf{S}^3$ , with metric

$$ds_3^2 = d\theta^2 + \sin^2\theta d\phi^2 + 4s^2(d\psi + \cos\theta d\phi)^2$$

and a background  $\mathbf{U}(1)_{\mathbf{R}}$  gauge fields  $\mathbf{A}^{(3)}$

$$\text{Flat space } \partial_{\mu} - i\mathbf{q}\mathcal{A}_{\mu} \longrightarrow \text{curved space } \nabla_{\mu} - i\mathbf{q}\mathcal{A}_{\mu} - i\mathbf{r}\mathbf{A}^{(3)}_{\mu}$$

# The two SUSY biaxially squashed three-spheres

Supersymmetry can be preserved in two cases, adding slightly different background gauge fields:

$$1/4 \text{ BPS: } \mathbf{A}^{(3)} = -\frac{1}{2}(4s^2 - 1)(d\psi + \cos\theta d\phi) \quad [\text{Hama-Hosomichi-Lee}]$$

$$1/2 \text{ BPS: } \mathbf{A}^{(3)} = -s\sqrt{4s^2 - 1}(d\psi + \cos\theta d\phi) \quad [\text{Imamura-Yokoyama}]$$

Here  $0 < s = \textit{squashing parameter}$ , with the round metric on  $\mathbf{S}^3$  being  $s = \frac{1}{2}$

In the 1/2 BPS case the partition function involves  $\mathbf{s}_b(\mathbf{x})$ , where  $\mathbf{b} = \mathbf{b}(s)$

The large  $\mathbf{N}$  limit of the partition function for  $\mathbf{d} = 3$ ,  $\mathcal{N} = 2$  theories can be computed from the matrix models and to leading order in  $\mathbf{N}$  is:

$$\log \mathbf{Z}_{\text{field theory}}[s] = \log \mathbf{Z}_{\text{round } \mathbf{S}^3} \times \begin{cases} 1 & 1/4 \text{ BPS} \\ 4s^2 & 1/2 \text{ BPS} \end{cases}$$

# Gravity duals

**Idea:** find a supersymmetric filling  $\mathbf{M}_4$  of the squashed  $\mathbf{S}^3$  in  $\mathbf{d} = 4$ ,  $\mathcal{N} = 2$  gauged supergravity (Einstein-Maxwell theory), and use the fact that any<sup>1</sup> such solution uplifts to a supersymmetric solution  $\mathbf{M}_4 \times \mathbf{Y}_7$  of  $\mathbf{d} = 11$  supergravity

$$\text{Action: } \mathbf{S} = -\frac{1}{16\pi\mathbf{G}_4} \int d^4x \sqrt{\mathbf{g}} (\mathbf{R} + \mathbf{6} - \mathbf{F}^2)$$

$$\text{Killing spinor equation: } \left( \nabla_\mu - i\mathbf{A}_\mu + \frac{1}{2}\Gamma_\mu + \frac{i}{4}\mathbf{F}_{\nu\rho}\Gamma^{\nu\rho}\Gamma_\mu \right) \epsilon = 0$$

Where  $\Gamma_\mu \in \text{Cliff}(4, 0)$ , so  $\{\Gamma_\mu, \Gamma_\nu\} = 2\mathbf{g}_{\mu\nu}$

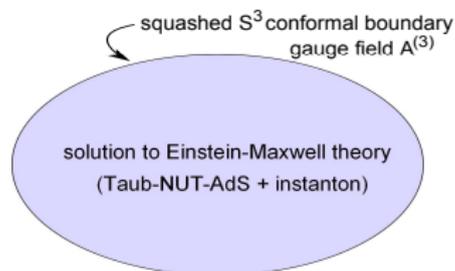
**Dirichlet problem:** find an  $(\mathbf{M}_4, \mathbf{g}_{\mu\nu})$  and gauge field  $\mathbf{A}$  such that

- The conformal boundary of  $\mathbf{M}_4$  is the squashed sphere
- The  $\mathbf{d} = 4$  gauge field  $\mathbf{A}$  restricts to  $\mathbf{A}^{(3)}$  on the conformal boundary
- The  $\mathbf{d} = 4$  Killing spinor  $\epsilon$  restricts to the  $\mathbf{d} = 3$  Killing spinor  $\chi$

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<sup>1</sup>We shall see...

# Gravity duals



$M_4 =$  Taub-NUT-AdS

$A =$  self-dual gauge field ( $*F=F$ )

The gauge fields and Killing spinors are different for the 1/4 BPS and 1/2 BPS solutions

Taub-NUT-AdS is an asymptotically locally AdS **Einstein metric** (with self-dual Weyl tensor) on  $\mathbb{R}^4$ :

$$ds_4^2 = \frac{r^2 - s^2}{\Omega(r)} dr^2 + (r^2 - s^2)(d\theta^2 + \sin^2 \theta d\phi^2) + \frac{4s^2 \Omega(r)}{(r^2 - s^2)} (d\psi + \cos \theta d\phi)^2$$

where  $\Omega(r) = (r - s)^2 [1 + (r - s)(r + 3s)]$

$$A = f(r, s)(d\psi + \cos \theta d\phi)$$

# Holographic free energy

The holographic free energy is

$$-\log Z_{\text{gravity}} = S_{\text{Einstein-Maxwell}} + S_{\text{Gibbons-Hawking}} + S_{\text{counterterm}}$$

Remarkably, we find

$$\log Z_{\text{gravity}}[\mathbf{s}] = \log Z_{\text{AdS}_4} \times \begin{cases} \mathbf{1} & 1/4 \text{ BPS} \\ 4\mathbf{s}^2 & 1/2 \text{ BPS} \end{cases}$$

agreeing **exactly** with the leading large  $\mathbf{N}$  matrix model results!

For the 1/4 BPS case the independence of  $\mathbf{s}$  is non-trivial: each term in the action has a complicated  $\mathbf{s}$ -dependence, which cancels only when all are summed

# Is the filling of a boundary unique?

We must *sum* over **all** solutions  $\mathbf{M}_4^{(i)}$  with fixed conformal boundary  $\partial\mathbf{M}_4^{(i)} = \mathbf{M}_3$ :

$$\mathbf{Z}_{\text{gravity}} = \sum_i \exp[-\mathbf{S}(\mathbf{M}_4^{(i)})]$$

$\mathbf{S}(\mathbf{M}_4^{(i)}) \sim \mathbf{N}^{3/2} \Rightarrow$  the large  $\mathbf{N}$  limit, the solution with **smallest** free energy/Euclidean action dominates (exponentially) the saddle point

We can use the fact that the biaxially squashed  $\mathbf{S}^3$  metric and background gauge field  $\mathbf{A}^{(3)}$  preserve  $\mathbf{SU}(2) \times \mathbf{U}(1)$  symmetry

A theorem of [Anderson] guarantees this symmetry extends to a symmetry of  $\mathbf{M}_4$  if the four dimensional metric is Einstein, and more generally conjectures it for solutions to Einstein-Maxwell

Assuming this, we have *completely solved* this filling problem

# NUTs and bolts

More generally, we have studied biaxially squashed **Lens space**  $\mathbf{S}^3/\mathbb{Z}_p$  as conformal boundary, which still preserve  $\mathbf{SU}(2) \times \mathbf{U}(1)$

We have written down **all** ALEAdS supersymmetric solutions of Maxwell-Einstein supergravity. The results are surprisingly complicated!

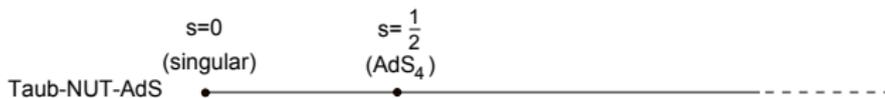
- The Taub-NUT-AdS solutions are the **unique** supersymmetric solutions with topology  $\mathbb{R}^4$ , and extend to (mildly singular)  $\mathbb{R}^4/\mathbb{Z}_p$  solutions by quotienting
- There is another supersymmetric Einstein (Weyl self-dual) solution, with 1/4 BPS and 1/2 BPS instantons, of topology  $\mathcal{M}_p = \mathcal{O}(-p) \rightarrow \mathbf{S}^2$ , which exists for  $p \geq 3$  and  $\mathbf{s} = \mathbf{s}_p \equiv \frac{p}{4\sqrt{p-1}}$  (“Quaternionic-Eguchi-Hanson”)
- In fact, this is a special case, for fixed  $\mathbf{s} = \mathbf{s}_p$ , of a more general class of **supersymmetric Taub-Bolt-AdS** solutions, of topology  $\mathcal{M}_p$  for  $p \geq 1$

# Supersymmetric Taub-Bolt-AdS solutions

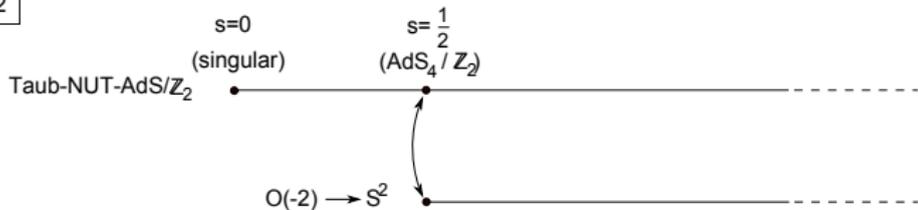
- Exist for both 1/2 BPS and 1/4 BPS boundary conditions, but only for certain *ranges* of squashing  $\mathbf{s} \in [\mathbf{s}_-, \mathbf{s}_+]$
- In general there are different **branches** of solutions, joining onto each other at special solutions (such as the endpoints  $\mathbf{s}_\pm$ )
- The manifolds  $\mathcal{M}_p = \mathcal{O}(-\mathbf{p}) \rightarrow \mathbf{S}^2$  are not spin manifolds for  $\mathbf{p}$  odd, but the gauged supergravity spinors are global, smooth  $\text{spin}^c$  spinors
- Correspondingly, we find that the metric being smooth implies that the gauge field  $\mathbf{A}$  is automatically a *quantized spin<sup>c</sup> connection*:

$$\int_{\mathbf{S}^2} \frac{\mathbf{F}}{2\pi} = \begin{cases} \pm \frac{\mathbf{p}}{2} & 1/2 \text{ BPS} \\ \pm \frac{\mathbf{p}}{2} - \mathbf{1} & 1/4 \text{ BPS} \end{cases}$$

$p=1$

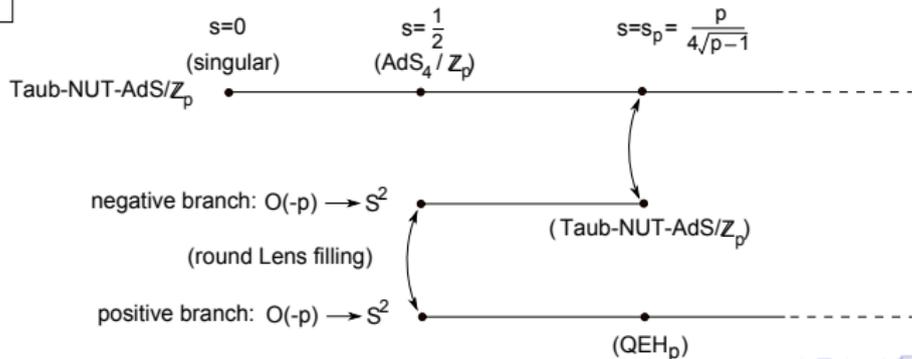


$p=2$



1/2 BPS

$p>2$



# Holographic free energies of 1/2 BPS solutions

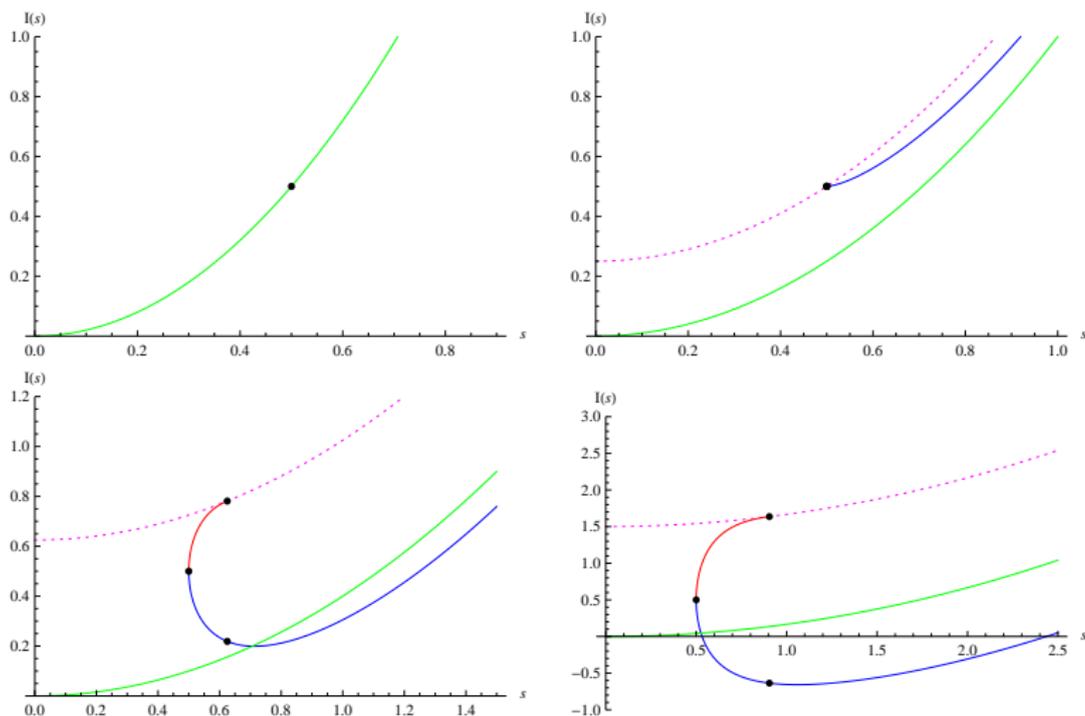


Figure: Plots of the free energies  $I(s)$  as functions of  $s$ , of the different branches for  $p = 1, 2, 5, 12$ , respectively

## Comparison to field theory

So far no one has computed the partition function for any theory on a **squashed Lens space**

The only results for supersymmetric gauge theories on  $\mathbf{S}^3/\mathbb{Z}_p$  for  $p > 1$  are for the ABJM theory on the *round Lens space* [Alday-Fluder-Sparks]

The large  $\mathbf{N}$  behaviour of the localized free energy agrees with the (naive) holographic free energy of  $\text{AdS}_4/\mathbb{Z}_p$  (green lines at  $s = 1/2$  in the plots)

More generally, do our results predict the existence of new vacua in the field theories, and phase transitions among them?

## Lifting bolts to M-theory

... although the Taub-Bolt-AdS solutions are smooth SUSY solutions of  $\mathbf{d} = 4$  gauged supergravity, they do **not** always lift to SUSY solutions in  $\mathbf{d} = 11$ !

Consider the ABJM theory, which has internal space  $\mathbf{Y}_7 = \mathbf{S}^7$  (for  $\mathbf{k} = 1$ ). Then the uplifting ansatz for the metric is

$$ds_{11}^2 = \mathbf{R}^2 \left[ \frac{1}{4} ds_4^2 + (d\chi + \sigma + \frac{1}{2}\mathbf{A})^2 + ds_{\mathbb{C}\mathbb{P}^3}^2 \right]$$

where  $d\sigma =$  Kähler form on  $\mathbb{C}\mathbb{P}^3$  and  $\mathbf{A}$  is the  $\mathbf{d} = 4$  (spin<sup>c</sup>) gauge field

The coordinate  $\chi$  has period  $2\pi$ , but this then doesn't give a globally well-defined  $\mathbf{d} = 11$  metric due to the  $\pm\frac{1}{2}$  unit of flux of  $\mathbf{F}$  through the bolt  $\mathbf{S}^2$

# Conclusions

- Gauge/gravity duality relates: supersymmetric gauge theories in curved backgrounds, localization, matrix models, quantum dylogarithm (and generalisations), geometric structures (e.g. Sasaki-Einstein manifolds)
- For the “biaxially squashed three spheres” we have found a complete agreement between large  $\mathbf{N}$  limit of matrix models and supergravity solutions
- We obtained new non-trivial predictions for the large  $\mathbf{N}$  limit of Lens space matrix models. In particular, we discovered a new type of supersymmetric “filling” with topology different from  $\mathbb{R}^4$  with subtle properties
- The Taub-Bolt-AdS solutions only lift to well-behaved  $\mathbf{d} = 11$  solutions only for certain choices of internal space  $\mathbf{Y}_7$
- Can the Taub-Bolt-AdS solutions (and associated “phase transition” in  $\mathbf{s}$ ) be understood in terms of a field theory computation on  $\mathbf{S}^3/\mathbb{Z}_p$ ?! Subtle global properties of gauge field in the boundary (Wilson lines) will play a role...